

Amendment to

BOCOM INTERNATIONAL SECURITIES LIMITED

BOCOM INTERNATIONAL ASSET MANAGEMENT LIMITED

CLIENT'S AGREEMENTS AND RISK DISCLOSURE STATEMENTS

Date of Notice: 11 September 2024

Please be informed that the CLIENT'S AGREEMENTS AND RISK DISCLOSURE STATEMENTS as amended from time to time (the "Agreement"), for existing client will be amended with effect on and from 23 September 2024 (the "Effective Date") in the following manner.

Original Clause Item	Revised Clause Item	Amendment (Newly added content will be underlined, and deleted content will be crossed)
SECURITIES TRADING CLIENT AGREEMENT – CLAUSE 1 - DEFINITION AND INTERPRETATION	SECURITIES TRADING CLIENT AGREEMENT – CLAUSE 1 - DEFINITION AND INTERPRETATION	The following definition has been added: <u>“SWT Arrangement” means severe weather trading arrangements in the securities and derivatives markets at SEHK.</u>
SECURITIES TRADING CLIENT AGREEMENT – CLAUSE 4 - ORDERS AND TRANSACTIONS	SECURITIES TRADING CLIENT AGREEMENT – CLAUSE 4 - ORDERS AND TRANSACTIONS	The following clause has been added: <u>4.17 SWT Arrangement will be activated when Typhoon Signal No.8 or above, or a Black Rainstorm Warning issued by the Hong Kong Observatory, or an announcement of “Extreme Conditions” by the Hong Kong Government. During the SWT Arrangement, some services may be temporarily suspended or postponed until regular trading days, depending on the severity of the weather conditions or “Extreme Conditions”. BOCOM does not guarantee a level of service similar to that of regular trading days. As securities settlement will proceed as usual under SWT Arrangement,</u>

		<p><u>the Client shall monitor his/her/its Account status and ensure there are sufficient funds to fulfill settlement and margin calls obligations. BOCOM shall not be held liable for any damages, losses, expenses, or other liabilities whatsoever arising in connection with any failure of performance, error, omission, interruption, defect, suspension, or delay in transactions or services provided by BOCOM under the SWT Arrangement. The Client shall stay updated on the latest announcements regarding the SWT Arrangement on the SEHK website.</u></p>
<p>FUTURES CLIENT AGREEMENT – CLAUSE 3 - ORDERS AND TRANSACTIONS</p>	<p>FUTURES CLIENT AGREEMENT – CLAUSE 3 - ORDERS AND TRANSACTIONS</p>	<p>3.5 Notwithstanding any provision contained herein to the contrary, orders are to be received and executed on the understanding that no taking or making of delivery of Commodities will be made or required in respect of the Exchange Contract or F/O Contract entered into by BOCOM on behalf of the Client, and that only cash settlement or payment shall be made or required in respect of such contract. Every Exchange Contract or F/O Contract entered into by BOCOM on behalf of the Client is made on the understanding that both BOCOM and the Client contemplate performance thereof by cash settlement or payment and, as between BOCOM and the Client, shall be deemed to contain obligations on the Client and BOCOM to make settlement of such contract by paying or receiving money or fund. Liquidating instructions on open futures positions maturing in a current month must be given to</p>

		<p>BOCOM at least five Business Days <u>trading days</u> prior to the first notice day in the case of long positions and, in the case of short positions, at least five Business Days <u>trading days</u> prior to the last trading day. Alternatively, sufficient good funds to take delivery or the necessary delivery documents must be delivered to BOCOM within the same period described above. If the Client fails to provide BOCOM with such instruction, moneys, funds, or documents within the period as aforesaid, BOCOM may, without notice, either liquidate the Client's position, or pay or receive moneys or funds on behalf of the Client upon such terms and by such methods which BOCOM shall deem to be feasible. If the Client fails to provide BOCOM with such instruction and such failure renders or makes BOCOM becoming obliged to take or make delivery of Commodities under the relevant Exchange Contract or F/O Contract, BOCOM will make all necessary arrangements and actions to terminate, cancel, discharge, release such obligation of BOCOM to the effect that no taking or making of delivery of Commodities will be made or required at the risks, costs, and expenses of the Client. The Client shall keep BOCOM indemnified in respect of all costs, losses, claims, penalties, fines, taxes, damages and expenses incurred by BOCOM as a result of action taken by BOCOM pursuant to this Clause.</p>
STOCK OPTIONS TRADING	STOCK OPTIONS TRADING	4.8 In respect of the Client's short positions, in cases where the Client

<p>SUPPLEMENTAL CLIENT AGREEMENT – CLAUSE 4 - CONTRACTS</p>	<p>SUPPLEMENTAL CLIENT AGREEMENT – CLAUSE 4 - CONTRACTS</p>	<p>Contract is validly exercised (including cases pursuant to Clause 4.9), the Client shall fulfill his obligations under the relevant Client Contract by 3:15 p.m. on the Business—Day <u>trading day</u> following the day of exercise. In default thereof, without prejudice to other rights or remedies that BOCOM may have against the Client, BOCOM may without demand or notice cover any liability of the Client under any short positions or deal with the same in the manner deemed most appropriate by BOCOM. The Client agrees that the Client will be responsible for all the expenses of BOCOM in connection with the above and that BOCOM will not be liable for any loss that may thereby be incurred.</p>
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Please note that the amendment shall be binding on you if you continue to use our services after the Effective Date. If you do not accept this amendment, please terminate your account(s) before the Effective Date in accordance with your right of termination provided in the Agreement.

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