

**Notice of Amendment to**  
**BOCOM INTERNATIONAL SECURITIES LIMITED**  
**BOCOM INTERNATIONAL ASSET MANAGEMENT LIMITED**  
**CLIENT'S AGREEMENTS AND RISK DISCLOSURE STATEMENTS**

Date of Notice: 29 May 2023

Please be informed that the CLIENT'S AGREEMENTS AND RISK DISCLOSURE STATEMENTS as amended from time to time (referred as the "Agreement"), for existing client will be amended with effect on and from 1 June 2023 ("Effective Date") in the following manner.

<b>Original clause item</b>	<b>Revised clause item</b>	<b>Amendment (Newly added content will be underlined, and deleted content will be crossed)</b>
<p><b>"15. Risk of entering into over-the-counter derivative transactions with an unlicensed person</b></p> <p>If the Client enter into over-the-counter derivative transactions with any of the following entities as set out below, it is important for the Client to note that the Counterparties are not licensed by the SFC and hence are not subject to the conduct and prudential supervision by the SFC.</p> <p><b>List of Entities</b></p> <p>BOCOM International Global Investment Limited;  BOCOM International Global Investment 2 Limited;  BOCOM International Global Investment 3 Limited;  BOCOM International Opportunity Investment Limited;</p>	<p>SECURITIES  RISK  DISCLOSURE  STATEMENTS</p>	<p><b>Updates on List of Entities</b></p> <p><b>"15. Risk of entering into over-the-counter derivative transactions with an unlicensed person</b></p> <p>If the Client enter into over-the-counter derivative transactions with any of the following entities as set out below, it is important for the Client to note that the Counterparties are not licensed by the SFC and hence are not subject to the conduct and prudential supervision by the SFC.</p> <p><b>List of Entities</b></p> <p><del>BOCOM International Global Investment Limited;</del>  <del>BOCOM International Global Investment 2 Limited;</del>  <del>BOCOM International Global Investment 3 Limited;</del>  <del>BOCOM International Opportunity Investment Limited;</del></p>

<p>BOCOM International Horizon Investment Limited;  BOCOM International Premium Investment Limited;  BOCOM International Prosperity Investment Limited;  BOCOM International Advance Investment Limited;  BOCOM International Supreme Investment Limited;  BOCOM International Radiant Investment Limited;  BOCOM International Balance Investment Limited;  BOCOM International Apex Investment Limited;  BOCOM International Estar Investment Limited;  BOCOM International Global Fund SPC; and  Preferred Investment Management Limited  (collectively the <b>“Counterparties”</b>)</p> <p>The Client should also note that the Counterparties are not regulated by any other financial regulator and as such, the Client may not receive any regulatory protection at all.</p> <p>The Client should cautiously consider whether it would be in the Client’s best interest to enter into over-the-counter derivative transactions with a Counterparty instead of a licensed corporation</p>		<p><del>Opportunity Investment Limited;</del>  <del>BOCOM International Horizon Investment Limited;</del>  <del>BOCOM International Premium Investment Limited;</del>  <del>BOCOM International Prosperity Investment Limited;</del>  <del>BOCOM International Advance Investment Limited;</del>  <del>BOCOM International Supreme Investment Limited;</del>  <del>BOCOM International Radiant Investment Limited;</del>  <u>Alpha Global Investment Limited;</u>  <u>Alpha Global Investment 3 Limited;</u>  <u>Alpha Opportunity Investment Limited;</u>  <u>Alpha Horizon Investment Limited;</u>  <u>Alpha Premium Investment Limited;</u>  <u>Alpha Prosperity Investment Limited;</u>  <u>Alpha Advance Investment Limited;</u>  <u>Alpha Supreme Investment Limited;</u>  <u>BOCOM International Radiant Investment Limited;</u>  BOCOM International Balance Investment Limited;  <del>BOCOM International Apex Investment Limited;</del>  <del>BOCOM International Estar Investment Limited;</del></p>
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<p>and seek independent professional advice when in doubt.”</p>		<p><u>Hong Kong Apex Investment Limited</u>;  BOCOM International Global Fund SPC; and  Preferred Investment Management Limited  (collectively the “<b>Counterparties</b>”)</p> <p>The Client should also note that the Counterparties are not regulated by any other financial regulator and as such, the Client may not receive any regulatory protection at all.</p> <p>The Client should cautiously consider whether it would be in the Client’s best interest to enter into over-the-counter derivative transactions with a Counterparty instead of a licensed corporation and seek independent professional advice when in doubt.”</p>
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Please note that the amendment shall be binding on you if you continue to use our services after the Effective Date. If you do not accept this amendment, please terminate your account(s) before the Effective Date in accordance with your right of termination provided in the Agreement.

A copy of the amended and restated Agreement is available on our website.

**BOCOM INTERNATIONAL SECURITIES LIMITED**

**BOCOM INTERNATIONAL ASSET MANAGEMENT LIMITED**