

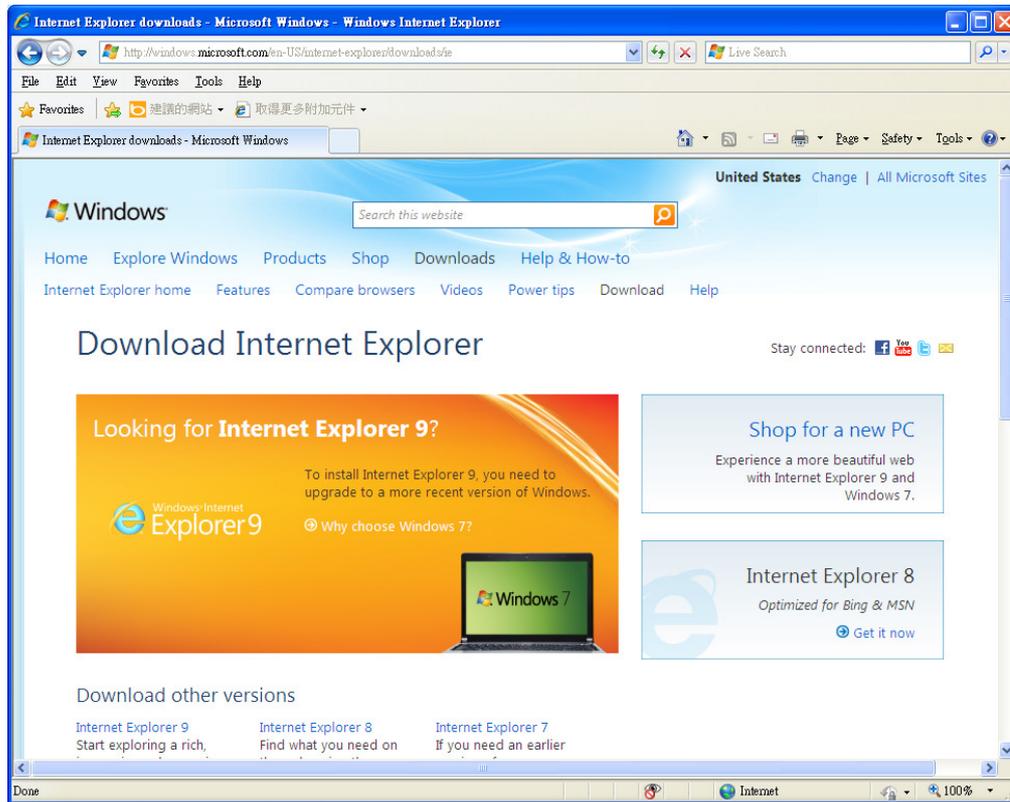
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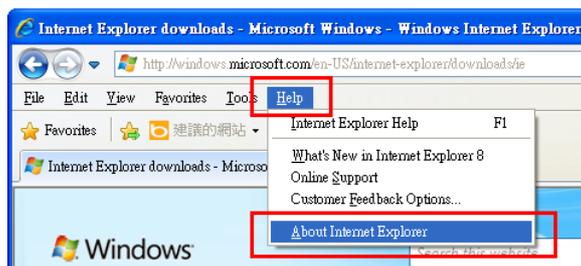
1 Browser Requirement

BOCOM International Online Securities Trading System only supports Internet Explorer 7 or later. Before login to the system, please make sure that the version of Internet Explorer meet the requirement.

Open browser Internet Explorer



Choose “Help”, and then choose “About Internet Explorer”



The following window will pop up and show the version of Internet Explorer.



If your current browser is Internet Explorer 6 or below, please visit

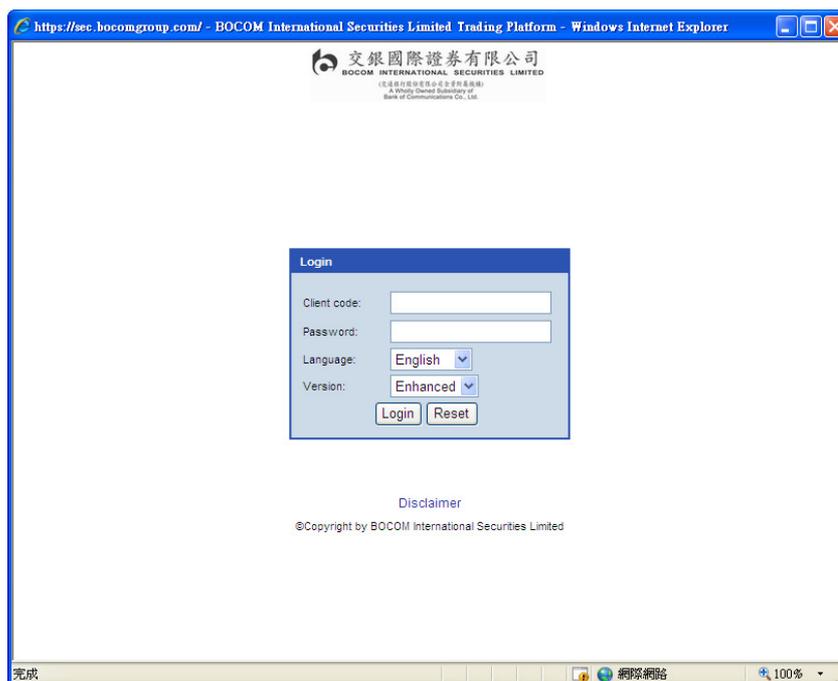
<http://www.microsoft.com/windows/ie/default.mspx> to download and install a newer version of Microsoft Internet Explorer

2 System Login

Please go to BOCOM International's website <http://www.bocomgroup.com/> and choose "Online Trading (Securities) Login"



Please enter "Client Code" and "Password"



For first login, password must be changed before entering the system

Change password upon first login

Original login password

New login password

Confirm login password

*Password should be at least 8 and not more than 16 characters, in both alphabets and numbers

3 Market Quotes

User can get the market quote of Hong Kong stock market in the top right side of the page

The screenshot shows the BOCOM International Securities Limited Trading Platform interface. The top right corner displays a message: "Please enter stock!". Below this message is a disclaimer from AASTOCKS. The main interface includes a navigation menu with options like "HK Stock", "IPO App", "Acct. Info", and "Cash In / Out". A central panel contains order entry fields for "Stock code", "Stock Name", "Price", "Lot size", "Quantity", "Order Type", and "Trade password". At the bottom, there is a "Portfolio" section with a table of holdings and a "Today Order" section.

After entering stock number in the "Code" field, click or press "ENTER" on the keyboard.

The price quote information will be displayed accordingly

Code: Remaining real-time quotes: 951 (Total: 1000)

HSBC HOLDINGS (00005) - Real-time 2011-05-20 10:19

Last Price	Price Change	Bid	Day High	Open
81.050	0.500	81.000	81.150	80.750
	PCT Change	Ask	Day Low	Prev. Close
	0.62%	81.050	80.750	80.550
Volume	2.32M	Turnover	187.69M	
52 week Range	68.950 - 91.900	Lot Size/Currency	400 / HKD	
P/E	14.23	Market Capital	1,444.09B	

Linked Warrants: 11043, 11055, 11061, 11102, 11149, 11167, 11229, 11230, 11260, 11273, 11285, 11296, 11297, 11298, 11307, 11332, 11368, 11369, 11386, 11449, 11450, 11451, 11576, 11577, 11657, 11658, 11738, 11784, 11827, 14428, 15201, 15548, 15549, 15550, 16298, 17025, 17069, 17271, 17277, 17278, 17393, 17396, 17734, 17855, 18145, 18239, 18240, 18267, 18617, 19386, 20307, 21278, 21350, 21597, 21785, 22216, 22378, 22460, 22461, 22462, 22499, 22604, 22642, 22667, 22682, 22695, 22730, 22780, 22804, 22805, 22902, 22933, 22943, 22968, 22971, 23028, 23079, 23097, 23261, 23280, 23281, 23321, 23440, 23452, 23453, 23474, 23636, 23933, 23934, 23935, 23936, 23937, 23938, 23939, 23940, 23941, 23942, 23943, 23944, 23945, 23946, 23947, 23948, 23949, 23950, 23951, 23952, 23953, 23954, 23955, 23956, 23957, 23958, 23959, 23960, 23961, 23962, 23963, 23964, 23965, 23966, 23967, 23968, 23969, 23970, 23971, 23972, 23973, 23974, 23975, 23976, 23977, 23978, 23979, 23980, 23981, 23982, 23983, 23984, 23985, 23986, 23987, 23988, 23989, 23990, 23991, 23992, 23993, 23994, 23995, 23996, 23997, 23998, 23999, 24000

Information provided by AASTOCKS. Disclaimer: AASTOCKS.com Ltd and The Stock Exchange of Hong Kong Limited endeavor to ensure the accuracy and reliability of the information provided but do not guarantee its accuracy or reliability and accept no liability (whether in tort or contract or otherwise) for any loss or damage arising from any inaccuracies or omissions.

4 Account Portfolio

User can view the most up-to-date account information and trading status at the bottom of the page

The screenshot displays the BOCOM International Securities Limited Trading Platform. The top navigation bar includes 'HK Stock', 'IPO App', 'Acct. Info', and 'Cash In / Out'. The main interface is divided into two sections. The left section is a trading form with fields for 'Stock code', 'Stock Name', 'Price' (set to HKD 0.000), 'Lot size', 'Quantity', 'Order Type' (set to ELO), and 'Trade password'. The right section is a message box that says 'Please enter stock!' and contains a disclaimer from AASTOCKS. Below these sections is a 'Portfolio' tab, which is highlighted with a red box. This tab shows a table of account balances and holdings. The table has columns for 'Purchasing power (HKD)', 'Ledger Balance (HKD)', 'Today buy/sell (HKD)', 'T-1 buy/sell (HKD)', 'Available balance (HKD)', 'Credit Limit (HKD)', 'Margin value (HKD)', 'Margin Call (HKD)', 'Cash on hold (HKD)', 'Total equity value (HKD)', 'Total market value (HKD)', and 'Hold Fund Amount (HKD)'. Each row also includes 'Buy' and 'Sell' buttons, 'Stock code', 'Stock Name', 'Usable Quantity', 'Open Quantity', and 'Freeze Quantity'. The data in the table is as follows:

Account Item	Value (HKD)	Buy/Sell	Stock code	Stock Name	Usable Quantity	Open Quantity	Freeze Quantity
Purchasing power (HKD)	2,654.05						
Ledger Balance (HKD)	2,654.05						
Today buy/sell (HKD)	0.00						
T-1 buy/sell (HKD)	0.00						
Available balance (HKD)	2,654.05						
Credit Limit (HKD)	0.00						
Margin value (HKD)	0.00						
Margin Call (HKD)	0.00						
Cash on hold (HKD)	0.00						
Total equity value (HKD)	8,509.03						
Total market value (HKD)	5,854.98						
Hold Fund Amount (HKD)	0.00						
			00003.HK	HK & CHINA GAS	11	11	0
			00005.HK	HSBC HOLDINGS	55	55	0
			00017.HK	NEW WORLD DEV	6	6	0
			00023.HK	BANK OF E ASIA	2	2	0
			00066.HK	MTR CORPORATION	6	6	0
			00109.HK	GOOD FELLOW RES	7	7	0
			00129.HK	ASIA STANDARD	13	13	0

4.1 Portfolio

Show user's purchasing power, cash on hold, holding stocks information

This screenshot shows the 'Portfolio' tab from the trading platform. It displays a table of account balances and holdings, identical to the one shown in the previous screenshot. The table includes columns for account items, values in HKD, buy/sell buttons, stock codes, stock names, and quantities.

4.2 Today Order

Show all order placed today

This screenshot shows the 'Today Order' tab from the trading platform. It displays a table of orders placed today. The table has columns for 'Order Date/Time', 'Buy/Sell', 'Order Type', 'Stock code', 'Stock Name', 'T2 Balance', 'Order Price', 'Order Status', 'Filled Quantity', 'Filled Price', and 'Outstanding Stock Amount'. The data in the table is as follows:

Order Date/Time	Buy/Sell	Order Type	Stock code	Stock Name	T2 Balance	Order Price	Order Status	Filled Quantity	Filled Price	Outstanding Stock Amount
2011-08-29 10:17:21	Buy	ELO	00012.HK	HENDERSON LAND	1,000	10.0	Processing	0	0.0	1,000
2011-08-29 10:14:09	Buy	ELO	00006.HK	HK ELECTRIC	500	10.0	Processing	0	0.0	500
2011-08-29 10:13:51	Buy	ELO	00031.HK	CHINA AEROSPACE	2,000	20.0	Processing	0	0.0	2,000
2011-08-29 10:13:41	Buy	ELO	00004.HK	WHARF HOLDINGS	1,000	10.0	Processing	0	0.0	1,000
2011-08-29 10:13:33	Buy	ELO	00008.HK	PCCW	1,000	6.0	Queuing	0	0.0	1,000
2011-08-29 10:12:49	Buy	ELO	00011.HK	HANG SENG BANK	100	80.0	Processing	0	0.0	100

4.3 Today Transaction

Show all matched or partially matched orders today

Order Date/Time	Buy/Sell	Order Type	Stock code	Stock Name	T2 Balance	Price	Order Status	Filled Quantity	Filled Price	Outstanding Stock Amount	Currency	Market	Order Channel	Trans num
2011-05-23 11:50:58	Buy	ELO	00007.HK	KARL THOMSON	12,000	1.0	Partially Matched	3,000	1.0	9,000	HKD	HK	Internet	2011052
2011-05-23 09:23:31	Sell	ELO	01555.HK	ME HOLDINGS	2,000	900.0	Matched	2,000	900.0	0	HKD	HK	Internet	2011052

4.4 Amend/Cancel

Show all queuing orders that user can cancel or amend

Order Date/Time	Buy/Sell	Order Type	Stock code	Stock Name	T2 Balance	Order Price	Order Status	Filled Quantity	Filled Price	Outstan
2011-08-29 10:17:21	Buy	ELO	00012.HK	HENDERSON LAND	1,000	10.0	Processing	0	0.0	
2011-08-29 10:14:09	Buy	ELO	00008.HK	HK ELECTRIC	500	10.0	Processing	0	0.0	
2011-08-29 10:13:51	Buy	ELO	00031.HK	CHINA AEROSPACE	2,000	20.0	Processing	0	0.0	
2011-08-29 10:13:41	Buy	ELO	00004.HK	WHARF HOLDINGS	1,000	10.0	Processing	0	0.0	
2011-08-29 10:13:33	Buy	ELO	00008.HK	PCCW	1,000	6.0	Queuing	0	0.0	
2011-08-29 10:12:49	Buy	ELO	00011.HK	HANG SENG BANK	100	80.0	Processing	0	0.0	

4.5 Order History

Show user's order history

Transaction Date/Time	Buy/Sell	Order Type	Stock code	Stock Name	T2 Balance	Price	Order Status	Filled Quantity	Average Filled Price	Outstanding Stock A
2011-08-25 10:37:04	Buy	ELO	00026	CHINA MOTOR BUS	200	10.00	Queuing	0	0.0000	200
2011-08-25 10:36:48	Buy	ELO	00025	CHEVALIER INTL	2,000	10.00	Matched	2,000	10.0000	0
2011-08-25 10:36:42	Buy	ELO	00024	BURWILL	2,000	10.00	Rejected	0	0.0000	2,000
2011-08-25 10:34:54	Buy	ELO	00023	BANK OF E ASIA	200	14.80	Matched	200	14.8000	0
2011-08-25 10:34:42	Buy	ELO	00022	MEXAN	40,000	0.90	Matched	40,000	0.9000	0
2011-08-25 10:33:54	Buy	ELO	00021	WAYTUNG GLOBAL	5,000	1.94	Matched	5,000	1.9400	0

User can view order history within specific period

Click "From" field and choose a date from the pop up date panel

From	To	Order Type	All	Search						
2011-08-25 10:37:04	Buy	ELO	00026	CHINA MOTOR BUS	200	10.00	Queuing	0	0.0000	200
2011-08-25 10:36:48	Buy	ELO	00025	CHEVALIER INTL	2,000	10.00	Matched	2,000	10.0000	0
2011-08-25 10:36:42	Buy	ELO	00024	BURWILL	2,000	10.00	Rejected	0	0.0000	2,000
2011-08-25 10:34:54	Buy	ELO	00023	BANK OF E ASIA	200	14.80	Matched	200	14.8000	0
2011-08-25 10:34:42	Buy	ELO	00022	MEXAN	40,000	0.90	Matched	40,000	0.9000	0
2011-08-25 10:33:54	Buy	ELO	00021	WAYTUNG GLOBAL	5,000	1.94	Matched	5,000	1.9400	0

Click “To” field and choose a date from the pop up date panel

Transaction Date/Time	Buy/Sell	Order Type	Stock Name	T2 Balance	Price	Ord. Stat.
2011-05-20 15:52:39	Buy	ELO	00770	1,000	1.01	Mat
2011-05-20 15:50:16	Buy	ELO	00770	1,000	1.00	Can
2011-05-20 10:09:10	Sell	ELO	01555	2,000	900.00	Mat
2011-05-20 10:01:30	Sell	ELO	01555	0	900.00	Reje

Choose the “Order Type”

Transaction Date/Time	Buy/Sell	Order Type	Stock code	Stock Name	T2 Balance	Price	Ord. Stat.
2011-05-20 15:52:39	Buy	ELO	00770	SHANGHAI GROWTH	1,000	1.01	Mat
2011-05-20 15:50:16	Buy	ELO	00770	SHANGHAI GROWTH	1,000	1.00	Can
2011-05-20 10:09:10	Sell	ELO	01555	MIE HOLDINGS	2,000	900.00	Mat
2011-05-20 10:01:30	Sell	ELO	01555	MIE HOLDINGS	0	900.00	Reje

Click “Search” to show the search result

Transaction Date/Time	Buy/Sell	Order Type	Stock code	Stock Name	T2 Balance	Price	Order Status	Filled Quantity	Average Filled Price	Outstanding Stock Amount	Transaction Amount	Currency
2011-05-16 16:53:15	Buy	ELO	00007	KARL THOMSON	2,000	0.15	Matched	2,000	0.1500	0	300	HKD

4.6 Transaction History

Show user’s transaction history

Transaction Date	Handover Date	Buy/Sell	Stock code	Stock Name	Filled Quantity	Currency	Average Filled Price	Transaction Amount	Transaction number	Memo
No record is found.										

User can view transaction history within specific period. For details, please refer to “4.5 Order History”

4.7 Securities Movement

Deposit/Withdrawal	Deposit/Withdrawal Date	Handover Date	Stock code	Stock Name	Quantity	Reference Number	Remarks
Deposit	2011-08-20	2011-08-20	08396	CORE HEALTH-OLD	4,000	48644004	
Deposit	2011-08-17	2011-08-17	08396	CORE HEALTH-OLD	2,000	48644005	
Withdrawal	2011-08-14	2011-08-14	08212	APTUS HOLDINGS	-29,000	48643984	
Withdrawal	2011-08-13	2011-08-13	08212	APTUS HOLDINGS	-18,000	48643983	
Withdrawal	2011-08-03	2011-08-03	08212	APTUS HOLDINGS	-90,000	48643981	
Withdrawal	2011-08-02	2011-08-02	08212	APTUS HOLDINGS	-50,000	48643992	

Show all securities deposit/withdrawal records

4.8 Cash In / Out

Portfolio	Today Order	Today Tran.	Amend / Cancel	Order Hist.	Tran. Hist.	Sec. Movement	Cash In / Out
Deposit/Withdrawal Date	Handover Date	Deposit/Withdrawal	Currency	Amount	Reference Number	Remarks	
2011-08-29	2011-08-29	Deposit	HKD	1,000.00	1488326	Bank Broker Instruction	
2011-08-29	2011-08-29	Deposit	HKD	115,000.00	1488325	RT:	
2011-08-21	2011-08-21	Withdrawal	HKD	-29,694.26	1484527		
2011-08-20	2011-08-20	Withdrawal	HKD	-190,000.00	1484520		
2011-08-19	2011-08-19	Withdrawal	HKD	-50,000.00	1484529		
2011-08-17	2011-08-17	Withdrawal	HKD	-115,718.00	1484530		

Show all cash in/out records

5 Order

User can place orders at the top left corner of the page

The screenshot displays the BOCOM International Securities Limited Trading Platform interface. At the top left, there is an order entry form with fields for Stock code, Stock Name, Price (HKD 0.000), Lot size (0), Quantity (0), Order Type (ELO), and Trade password. A red box highlights this form. Below the form is a portfolio table with columns for Portfolio, Today Order, Today Tran., Amend / Cancel, Order Hist., Tran. Hist., Sec. Movement, and Cash In / Out. The table lists various securities and their current status.

Portfolio	Today Order	Today Tran.	Amend / Cancel	Order Hist.	Tran. Hist.	Sec. Movement	Cash In / Out
Purchasing power (HKD)		2,654.05					
Ledger Balance (HKD)		2,654.05					
Today buy/sell (HKD)		0.00					
T-1 buy/sell (HKD)		0.00					
Available balance (HKD)		2,654.05					
Credit Limit (HKD)		0.00					
Margin value (HKD)		0.00					
Margin Call (HKD)		0.00					
Cash on hold (HKD)		0.00					
Total equity value (HKD)		8,509.63					
Total market value (HKD)		5,855.56					
Hold Fund Amount (HKD)		0.00					

User can also press “Buy” or “Sell” button under the “Portfolio” tab to trade the holding stocks. The order information will automatically be shown at the top left corner of the page

The screenshot shows the BOCOM International Securities Limited Trading Platform interface. The top navigation bar includes "HK Stock", "IPO App", "Acct. Info", and "Cash In / Out". The user's name is "UNALLOCATED ENTITLEMENT - CLIENTS" and the client code is "800000007". The main area displays a "Buy" and "Sell" order entry form. The "Buy" button is highlighted with a red box. The form fields include: Stock code (17), Stock Name (NEW WORLD DEV), Price (HKD 0.000), Lot size (1000), Quantity (6), Order Type (ELO), and Trade password. Below the form is a "Portfolio" tab showing a table of holdings. The "Buy" button for the first stock in the table is also highlighted with a red box.

	Stock code	Stock Name	Usable Quantity	Open Quantity	Freeze Quantity	Current
Buy Sell	00003.HK	HK & CHINA GAS	11	11	0	H
Buy Sell	00005.HK	HSBC HOLDINGS	55	55	0	H
Buy Sell	00017.HK	NEW WORLD DEV	6	6	0	H
Buy Sell	00023.HK	BANK OF E ASIA	2	2	0	H
Buy Sell	00066.HK	MTR CORPORATION	6	6	0	H
Buy Sell	00109.HK	GOOD FELLOW RES	7	7	0	H
Buy Sell	00129.HK	ASIA STANDARD	13	13	0	H

5.1 Ordering Procedures

Press *“Buy”* or *“Sell”*. Enter *“Stock code”*, *“Price”* and *“Quantity”*

Buy		Sell	
Stock code	<input type="text" value="3328"/>		
Stock Name	BANKCOMM		
Price	HKD	<input type="text" value="7.990"/>	<input type="button" value="▲"/> <input type="button" value="▼"/>
	(Unit: 0.01)		
Lot size	1000		
Quantity	<input type="text" value="2,000"/> <input type="button" value="▲"/> <input type="button" value="▼"/>		
Order Type	ELO <input type="button" value="▼"/>		
	<input type="checkbox"/> Next trade day(Market status CT)		
Trade password	<input type="text"/>		<input type="checkbox"/> Save
		<input type="button" value="Cancel"/>	<input type="button" value="Continue"/>

Choose the *“Order Type”*

Order Type	ELO <input type="button" value="▼"/>
	Limit <input type="button" value="ra"/>
	SLO
Trade password	ELO
	ALO
	AO

Order Types

Limit Order(LO)

Limit Order(LO) means a buy order or a sell order with a specified price input into the System for automatic order matching at exactly the specified price when the current ask price or current bid price matches the specified price.

Special Limit Order(SLO)

Special Limit Order(SLO) has no restriction on the limit price with respect to the best price on the other side of the market. Any unfilled quantity after matching will be cancelled and not stored in the System.

Enhanced Limit Order(ELO)

Enhanced Limit Order(ELO) is similar to the Price Limit Order except that it allows matching of up to 10 price queues. The sell order price of ELO can be matched up to 9 spreads lower than the current bid or the buy order price can be matched up to 9 spreads higher than the current ask price. Any unfilled quantity of ELO after matching will remain in the market as a Price Limit Order at the input order price.

At-auction Limit Order(ALO)

An at-auction limit order(ALO) is an order with a specified price. Any outstanding at-auction limit orders at the end of the Pre-opening Session will be carried forward to the Continuous Trading Session and treated as limit orders provided that the specified price of that at-auction limit order does not deviate 9 times or more from the nominal price. Such orders will be put in the price queue of the input price.

At-auction Order(AO)

An at-auction order(AO) is an order with no specified price and is entered at the Pre-opening Session. Any outstanding at-auction orders after the end of the Pre-opening Session will be cancelled before the commencement of the Continuous Trading Session.

If the user places order after Continuous Trading Session, please enable this field to mark it as the next trade day order. Otherwise, the order will be failed.



Enter the *“Trade password”* and press *“Continue”*

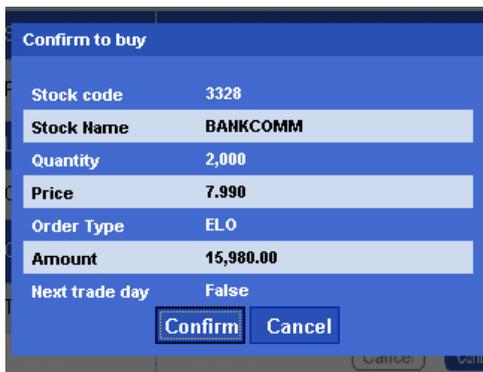
User can select *“Save”* so that he/she will not need to enter the password again for the next order (User should consider if he/she requires this function)

*After logout the system, the password saving functionality will be removed



Press *“Continue”*

After verifying the order information, press *“Confirm”* to send the order



If the input price is out of 24 spread, the system will prompt a request to make a confirmation



After sending the order, the order information will be displayed at the bottom of the page under “Today Order” tab

BOCOM International Securities Limited Trading Platform - Windows Internet Explorer

https://www.bocomgroup.com/InternetTrading/en/main.html?language=en&version=HTML&time=Mon Aug 29 13:30:27 UTC+0800 2011

交銀國際證券 BOCOM INTERNATIONAL SECURITIES

HK Stock IPO App Acct. Info Cash In / Out

Name: UNALLOCATED ENTITLEMENT - CLIENTS Client code: 800000007

Code: Submit News Remaining real-time quotes: 1000 (Total: 1000) Details

Buy Sell

Stock code

Stock Name

Price HKD 0.000 (Unit:)

Lot size 0

Quantity 0

Order Type ELO

Next trade day(Market status CT)

Trade password Save

Cancel Continue

Please enter stock!

Information provided by AASTOCKS
Disclaimer: AASTOCKS.com Ltd and The Stock Exchange of Hong Kong Limited endeavor to ensure the accuracy and reliability of the information provided but do not guarantee its accuracy or reliability and accept no liability (whether in tort or contract or otherwise) for any loss or damage arising from any inaccuracies or omissions.

Order Date/Time	Buy/Sell	Order Type	Stock code	Stock Name	T2 Balance	Order Price	Order Status	Filled Quantity	Filled Price	Outstanding Stock Amount	Curre
2011-08-26 22:28:40	Buy	ELO	00007.HK	KARL THOMSON	2,000	0.38	Cancelled	0	0.0	0	Hi
2011-08-26 21:31:41	Buy	ELO	00007.HK	KARL THOMSON	2,000	0.38	Cancelled	0	0.0	0	Hi
2011-08-26 21:31:00	Buy	ALO	00007.HK	KARL THOMSON	2,000	0.38	Cancelled	0	0.0	0	Hi
2011-08-26 19:58:12	Buy	ALO	00007.HK	KARL THOMSON	2,000	0.37	Cancelled	0	0.0	0	Hi

Disclaimer © Copyrighted 2008 Connection status: Connected

Done Internet 100%

The latest order information is displayed at the page “Today Order”

Portfolio		Today Order		Today Tran.		Amend / Cancel		Order Hist.		Tran. Hist.		Sec. Movement		Cash In / Out	
Order Date/Time	Buy/Sell	Order Type	Stock code	Stock Name	T2 Balance	Order Price	Order Status	Filled Quantity	Filled Price	Outstanding Stock Amount					
2011-05-25 14:21:42	Buy	ELO	03328.HK	BANKCOMM	1,000	7.99	Matched	1,000	7.99	0					
2011-05-25 11:10:18	Buy	ELO	01893.HK	SINOMA	7,000	1.0	Partially Matched	3,000	1.0	4,000					

User can press  “Refresh” to update all of the order statuses

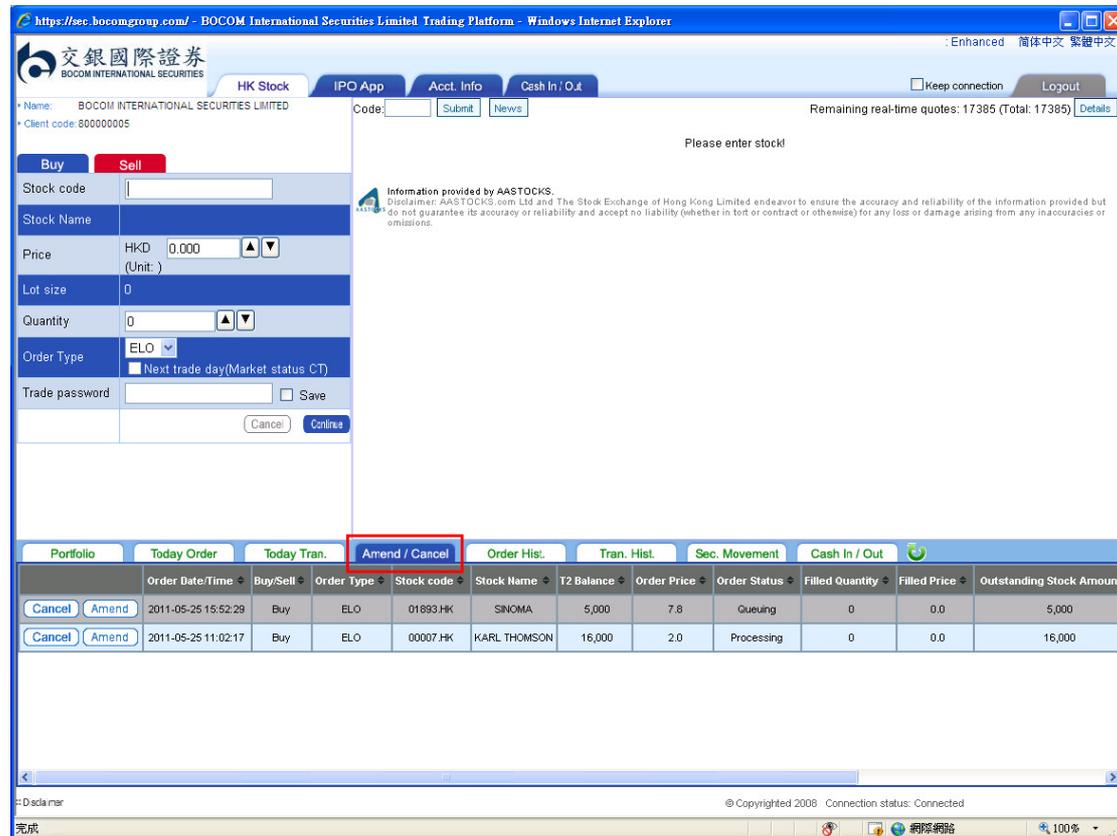
*Since the system will not update the order statuses automatically, user should keep pressing “Refresh” in order to view the latest information

Portfolio		Today Order		Today Tran.		Amend / Cancel		Order Hist.		Tran. Hist.		Sec. Movement		Cash In / Out	
Order Date/Time	Buy/Sell	Order Type	Stock code	Stock Name	T2 Balance	Order Price	Order Status	Filled Quantity	Filled Price	Outstanding Stock Amount					
2011-05-25 14:21:42	Buy	ELO	03328.HK	BANKCOMM	1,000	7.99	Matched	1,000	7.99	0					
2011-05-25 11:10:18	Buy	ELO	01893.HK	SINOMA	7,000	1.0	Partially Matched	3,000	1.0	4,000					

Type of Order Status	Description
<i>Processing</i>	Order is accepted and queuing to send to HKEx
<i>Queuing</i>	Order is accepted and placed in HKEx
<i>Rejected</i>	Order is rejected
<i>Cancelled</i>	Order is cancelled
<i>Partially Cancelled</i>	Order with partially filled is cancelled
<i>Mkt Close Cancel</i>	Order is cancelled after market close
<i>Partially Matched</i>	Order is partially filled and outstanding quantity is queuing at the market
<i>Fully Matched</i>	Order is totally filled
<i>Fully Matched (Amendment Failed)</i>	Order is totally filled and amendment is failed
<i>Waiting for Approval</i>	Order is waiting for approval
<i>Approving</i>	Order is being approval
<i>Approved</i>	Order is approved
<i>Failed</i>	Order approval is failed
<i>Approval Succeed</i>	Order approval is successful
<i>Amending</i>	Order modification/cancellation is in process
<i>Amendment Failed</i>	Order modification/cancellation is rejected or unsuccessful
<i>Amendment Received</i>	Order modification/cancellation is received

5.2 Order Amendment

User can amend a queuing order by selecting “Amend/Cancel” tab at the bottom of the page



Press “Amend” button to amend the order



User can modify the trade order information, including price and quantity. Press “Confirm” to send the amendment request



It shows the modified order at the page “Amend / Cancel”

Portfolio	Today Order	Today Tran.	Amend / Cancel	Order Hist.	Tran. Hist.	Sec. Movement	Cash In / Out				
	Order Date/Time	Buy/Sell	Order Type	Stock code	Stock Name	T2 Balance	Order Price	Order Status	Filled Quantity	Filled Price	Outstanding Stock Amount
	2011-05-25 15:52:29	Buy	ELO	01893.HK	SINOMA	5,000	7.8	Queuing	0	0.0	5,000
	2011-05-25 11:02:17	Buy	ELO	00007.HK	KARL THOMSON	20,000	0.6	Queuing	0	0.0	20,000

5.3 Order Cancellation

User can cancel a queuing order by selecting “Amend/Cancel” tab at the bottom of the page

The screenshot shows the BOCOM International Securities Trading Platform interface. The 'Amend / Cancel' tab is highlighted in red. The main area shows a form for entering stock details and a table of orders. The 'Amend / Cancel' tab is selected, and the 'Cancel' button is highlighted in red in the table below.

Portfolio	Today Order	Today Tran.	Amend / Cancel	Order Hist.	Tran. Hist.	Sec. Movement	Cash In / Out				
	Order Date/Time	Buy/Sell	Order Type	Stock code	Stock Name	T2 Balance	Order Price	Order Status	Filled Quantity	Filled Price	Outstanding Stock Amount
	2011-05-25 18:11:37	Sell	ELO	01800.HK	CHINA COMM CONS	3,000	7.0	Queuing	0	0.0	3,000
	2011-05-25 16:43:28	Buy	ELO	01893.HK	SINOMA	8,000	7.14	Queuing	0	0.0	8,000

Press “Cancel” button to cancel the order

Portfolio	Today Order	Today Tran.	Amend / Cancel	Order Hist.	Tran. Hist.	Sec. Movement	Cash In / Out			
	Order Date/Time	Buy/Sell	Order Type	Stock code	Stock Name	T2 Balance	Order Price	Order Status	Filled Quantity	Filled P
	2011-05-25 18:11:37	Sell	ELO	01800.HK	CHINA COMM CONS	3,000	7.0	Queuing	0	C
	2011-05-25 16:43:28	Buy	ELO	01893.HK	SINOMA	8,000	7.14	Queuing	0	C

After verifying the order information, press “Confirm” to send the cancellation request

Confirm to cancel the order

Order ID 20110525HK00000158

Stock code **01800.HK**

Stock Name CHINA COMM CONS

Price **7.0**

Quantity 3000.0

Order Type **ELO**

Next trade day False

Trade password *****

Save Password

The order status is updated as “Cancelled” at the page “Today Order”

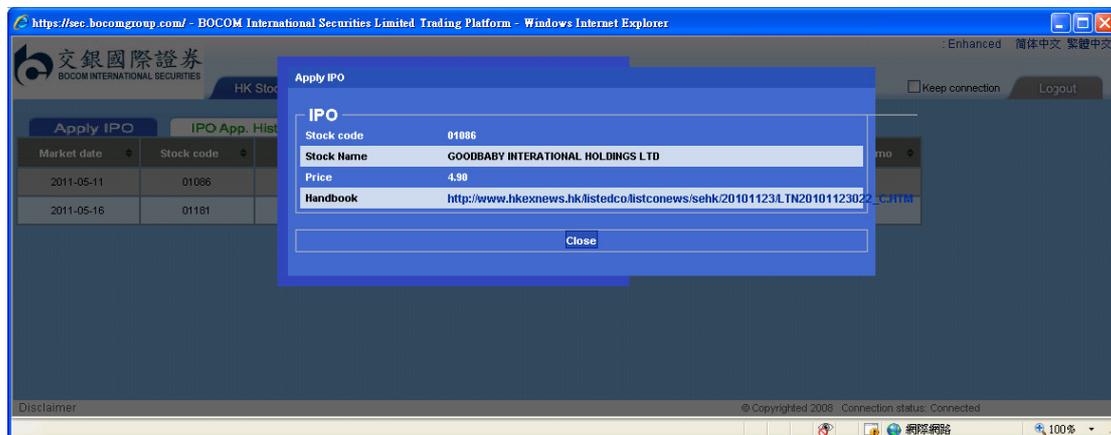
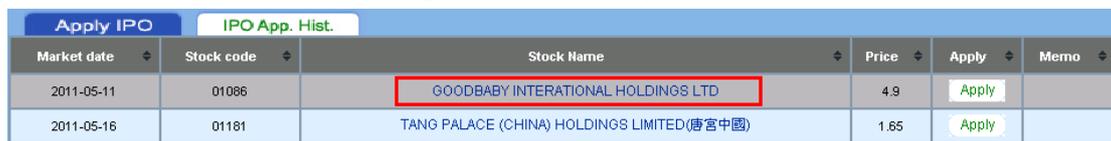
Portfolio		Today Order		Today Tran.		Amend / Cancel		Order Hist.		Tran. Hist.		Sec. Movement		Cash In / Out	
Order Date/Time	Buy/Sell	Order Type	Stock code	Stock Name	T2 Balance	Order Price	Order Status	Filled Quantity	Filled Price	Outstanding Stock Amount					
2011-05-25 18:11:37	Sell	ELO	01800.HK	CHINA COMM CONS	3,000	7.0	Cancelled	0	0.0	0					
2011-05-25 16:43:28	Buy	ELO	01893.HK	SINOMA	8,000	7.14	Queuing	0	0.0	8,000					

6 IPO Subscription

Choose "IPO App", it will show a IPO listings



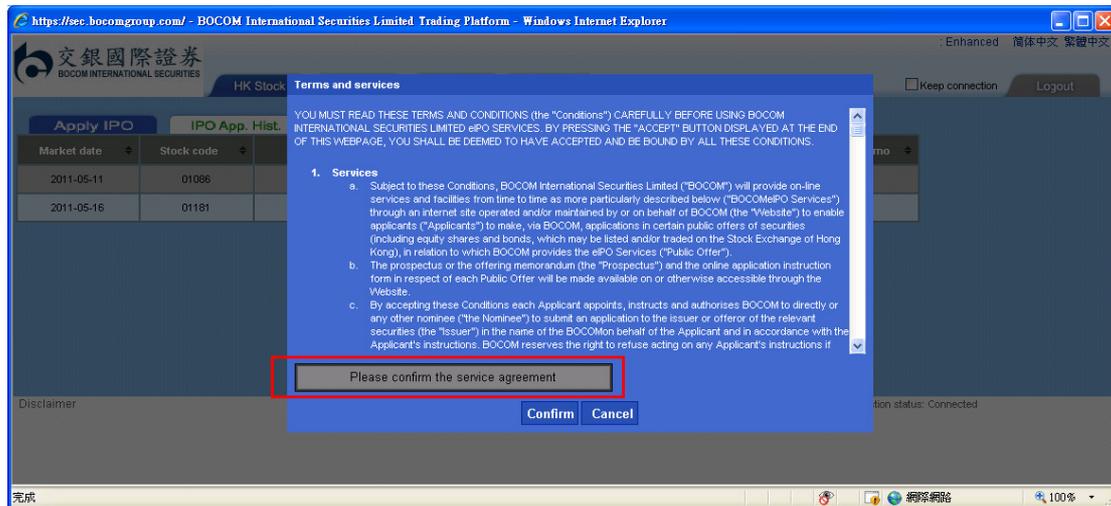
Click a stock name in the IPO listings and it will display the selected IPO's information



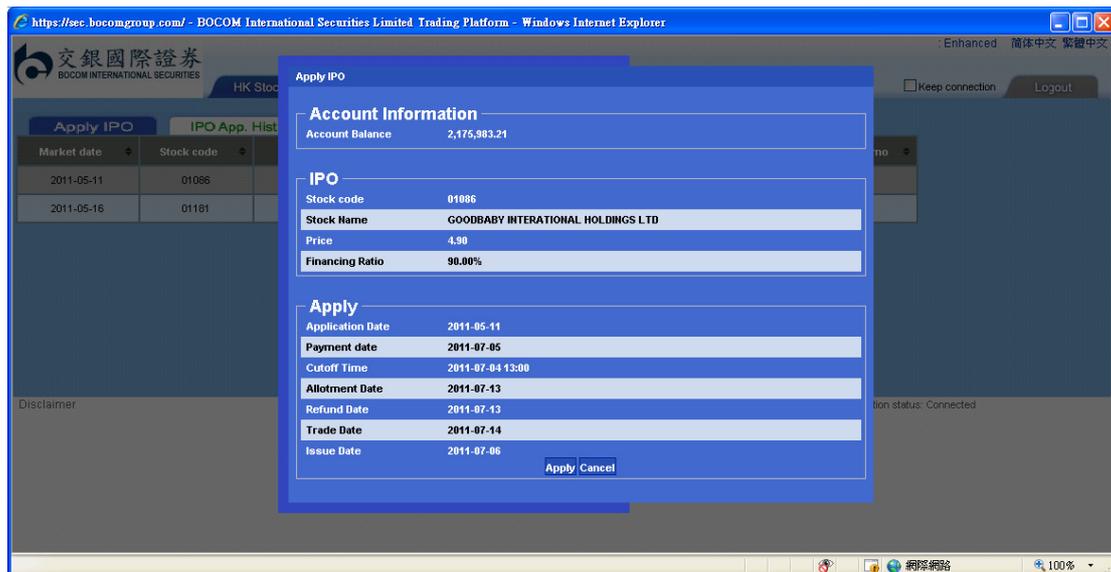
Press "Apply" to apply IPO



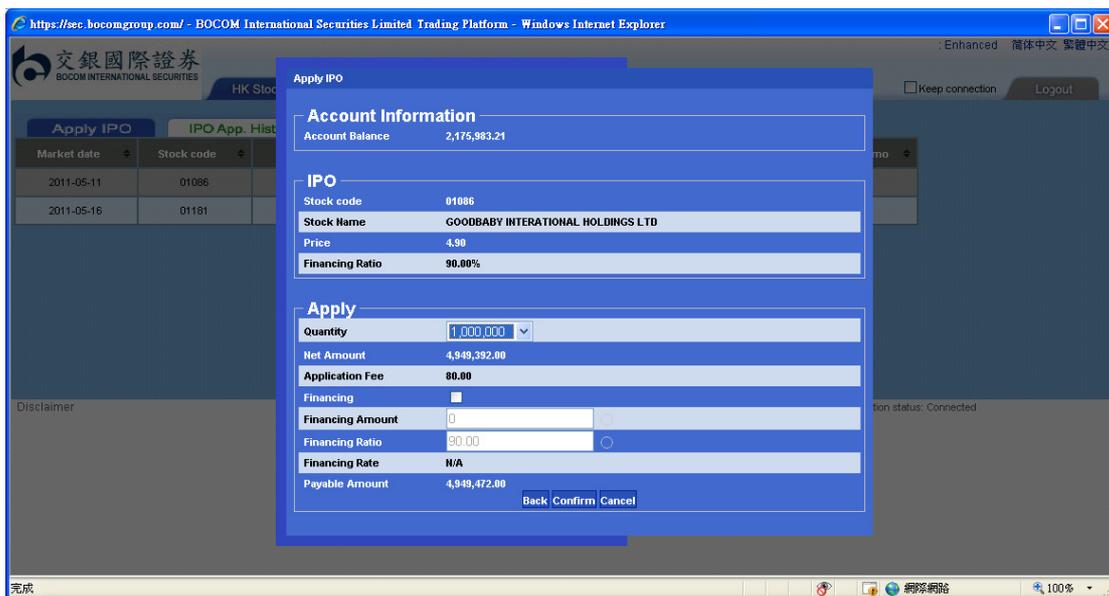
User should read and confirm the service agreement. Press *“Please confirm the service agreement”* and press *“Confirm”*



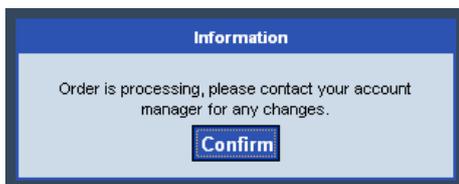
After reading the details of the IPO, press *“Apply”*



Choose “Quantity”



User should make sure the account balance is enough for the IPO subscription fee. Press “Confirm” to send the request. Finally, the system will display the following message to complete the application



Choose “IPO App. Hist.” page



The page will show all IPO application records submitted by the user

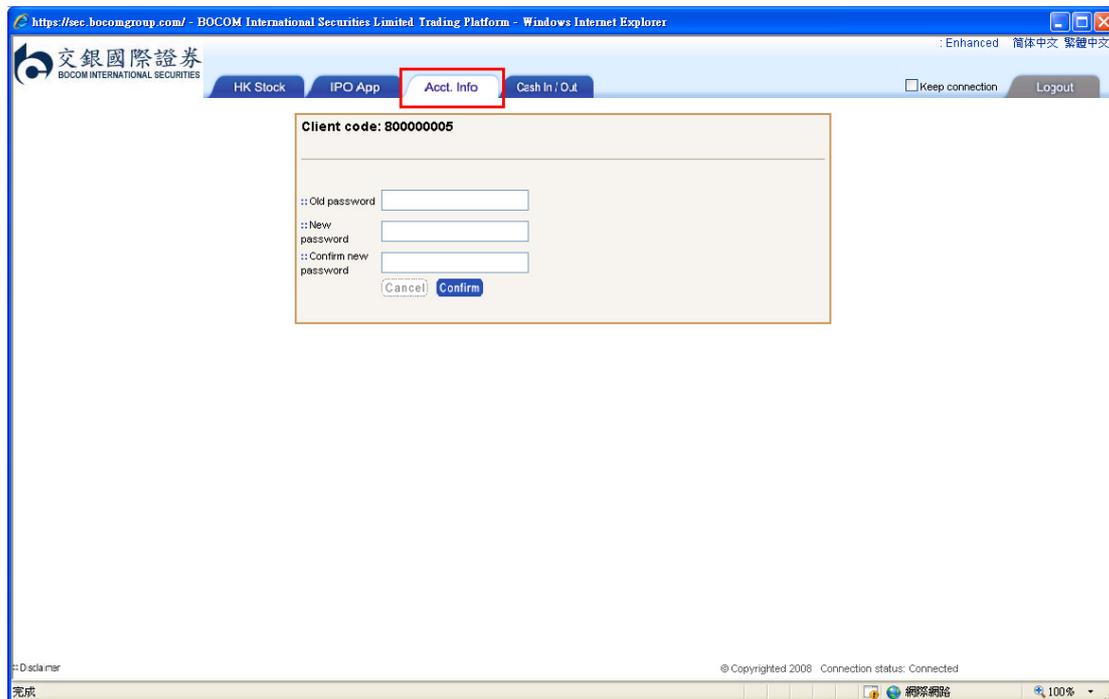


Press “Details” and show the details of the IPO



7 Change Password

Choose “Acct. Info” page



Enter “Old password”. Enter new password at the fields “New password” and “Confirm new password”, and then press “Confirm”

This is a close-up view of the password change form. It shows the client code "Client code: 800000005" at the top. Below it are three input fields: "Old password", "New password", and "Confirm new password". At the bottom of the form are two buttons: "Cancel" and "Confirm".

*New password should be at least 8 and not more than 16 characters, in both alphabets and numbers

8 Keep Connection

Under default setting, if the system keeps idle longer than 30 minutes, it will be logout automatically. However, if the user wants to keep the connection alive even the system will be idle for a long time, please enable the “Keep connection” option at the top right corner of the page

The screenshot shows the BOCOM International Securities Limited Trading Platform. The 'Keep connection' checkbox is checked and highlighted with a red box. The interface includes a trading form on the left and a portfolio table at the bottom.

Portfolio	Today Order	Today Tran.	Amend / Cancel	Order Hist.	Tran. Hist.	Sec. Movement	Cash In / Out
Purchasing power (HKD)		2,654.05					
Ledger Balance (HKD)		2,654.05					
Today buy/sell (HKD)		0.00					
T+1 buy/sell (HKD)		0.00					
Available balance (HKD)		2,654.05					
Credit Limit (HKD)		0.00					
Margin value (HKD)		0.00					
Margin Call (HKD)		0.00					
Cash on hold (HKD)		0.00					
Total equity value (HKD)		8,500.87					
Total market value (HKD)		5,846.82					
Hold Fund Amount (HKD)		0.00					

Tick “Keep connection”

