



交銀國際證券有限公司

收費表

(2012年3月30日起生效)

香港股票市場 (以港幣結算)

證券交易服務	
經紀佣金	標準收費：成交金額之0.25% (最低 \$100)，可商議
交易徵費	成交金額之0.003%
投資者賠償徵費	豁免 (直至另行通告為止)
交易費	成交金額之0.005%
印花稅	成交金額之0.1% (不足\$1之數亦作\$1計)
交易系統使用費	豁免
處理實物股票及交收的服務	
中央結算系統的股份交收費	成交金額之0.002% (最低 \$2，最高 \$100)
轉手紙厘印費	每張轉手紙收 \$5 (存入實物股票時收取)
存入實物股票費	豁免
提取實物股票費	面議
交收指示費 (轉入)	豁免
交收指示費 (轉出)	一切以 "交收指示通知" 所列明的收費為準
投資者交收指示費 (轉入)	每項指示收 \$5
投資者交收指示費 (轉出)	一切以 "交收指示通知" 所列明的收費為準
代理人服務及企業行動	
過戶費	每手收 \$1.5 (以買入/存入股份後第一次截止過戶日前之股數計算)
代收現金股息費	股息金額之0.5% (最低 \$20，最高 \$10,000)，最高收費可商議
代收紅股費	豁免
股份合併/分拆費	豁免
代履行權責手續費，如行使供股權/認購權/認股證、接受收購/私有化等	企業行動費：每手收 \$0.8 (最低 \$20)，另加手續費：每宗收 \$50
申請收取上市公司公告	每收取一間上市公司公告收 \$10 (每位客戶最多可申請收取 20 間上市公司公告)
資訊服務	
即時點擊報價 (由AAStock提供)	<ul style="list-style-type: none"> > 每月提供1,000次免費即時基本報價 (本月剩餘之基本報價不可累積下月使用) > 每付出交易佣金 \$ 2，即可額外獲贈免費即時報價1次 (本月剩餘之基本報價均可累積下月使用) > 自費購買即時報價次數，每次收費\$0.05，最少購買1,000次 (本月剩餘之基本報價均可累積下月使用) > 即時報價時段：上午9：30分至下午1：30分及下午2:30至下午5:00 (星期六、日及公眾假期除外) > 如所有免費即時報價次數用完，將提供延遲一小時之點擊報價服務
串流報價連接網上交易平台使用 (由AAStock提供)	計劃1：月費 \$303 (包括恆指) 計劃2：月費 \$328 (包括恆指及期指) 計劃3：月費 \$168 (只限國內使用)
帳戶服務	
帳戶結餘證明書收費	每宗申請 \$200
託管費	豁免
不動帳戶服務費	豁免
借貸及其他服務	
現金帳戶過期利息	年利率為交通銀行香港分行最優惠利率加 5%
保證金帳戶借貸利息	年利率為交通銀行香港分行最優惠利率加 3%
以代理人名義申請公開招股手續費	每宗申請 \$80
新股黃表處理手續費	每張黃表 \$100
複印結單 (包括電郵結單)	日結單每份 \$20，月結單每份 \$50
提款手續費	若客戶提款金額低於港幣 \$100，需要支付港幣 \$5手續費
CHATS手續費 (只適用於港元)	每宗申請 \$100
電匯手續費 (只適用於港元)	每宗申請 \$150
代追收股息	每宗申請 \$400，另加中央結算代理人其他收費 (不論追收成功與否有關費用均需繳付)

中國B股市場

項目	上海B股 (以美元結算)	深圳B股 (以港幣結算)
經紀佣金	成交金額之0.30% (最低收費 \$10美元)	成交金額之0.30% (最低收費 \$50港幣)
印花稅 (只適用於賣方)	成交金額之0.10%	成交金額之0.10%
結算費	成交金額之0.05%	成交金額之0.05%
交易徵費	成交金額之0.03%	成交金額之0.03%
代收現金股息費	股息金額之0.50% (最低收費 \$5美元)	股息金額之0.50% (最低收費 \$20港幣)

香港期貨市場 (以港幣結算)

合約	佣金 (單邊)		交易所費用及證監會徵費 # (單邊)
	即市	過市	即市 / 過市
恒生指數期貨	\$60	\$100	\$10.60
小型恒生指數期貨	\$25	\$40	\$3.62
H股指數期貨	\$60	\$100	\$4.10
小型H股指數期貨	\$25	\$40	\$2.12
股票期貨	\$25	\$40	\$3.62
恒生股息期貨	\$20	\$30	\$3.60
恒生國企股息期貨	\$20	\$30	\$2.10
恒指波幅指數期貨	\$120	\$200	\$10.6*
IBOVESPA期貨	\$60	\$100	\$10.6**
MICES期貨	\$60	\$100	\$5.6**
Sensex期貨	\$60	\$100	\$5.6**
FTSE/JSE Top40期貨	\$60	\$100	\$5.6**
恒生指數期權	期權金 x 1%(最低 \$60, 最高 \$100)		\$10.60
小型恒生指數期權	期權金 x 1%(最低 \$25, 最高 \$40)		\$2.12
H股指數期權	期權金 x 1%(最低 \$60, 最高 \$100)		\$4.10

根據期交所公佈之金額

* 豁免首6個月證監會徵費至2012年8月20日

** 豁免首6個月證監會徵費至2012年9月28日

**** 以上收費僅供參考，本公司可隨時修改而毋須預先通知客戶 ****

銀行入數帳戶資料

支票/本票抬頭請寫上 "交銀國際證券有限公司" 或 "BOCOM International Securities Limited"

股票

交通銀行	027-532-9-30757-6-6 (港幣、美金及人民幣)
中國銀行	012-709-1-010043-0 (港幣)
匯豐銀行	808-358022-001 (港幣)
恆生銀行	773-557764-001 (港幣)
渣打銀行	447-1-672687-7 (港幣)

入數紙傳真號碼 (852) 2851 9955 / 2851 9876 / 3426 9601

(請填上帳戶號碼及名稱)

期貨

渣打銀行 447-1-672753-9 (港幣)

入數紙傳真號碼 (852) 8148 6118

(請填上帳戶號碼及名稱)

匯款資料

收款人的銀行名稱 交通銀行香港分行

Bank of Communications, Hong Kong Branch

收款人的銀行地址
收款人的銀行 SWIFT CODE
收款人帳號
收款人名稱

香港中環畢打街20號
COMMHKHH
027-532-9-307576-6
交銀國際證券有限公司

20 Pedder Street, Central, Hong Kong

BOCOM International Securities Limited



BOCOM International Securities Limited

Fees and Charges Table

(Effective on 30 March 2012)

Hong Kong Stock Market

(Settled by HKD)

Trade-related services	
Brokerage commission	Standard: 0.25% of transaction amount (minimum \$100), subject to negotiable
Transaction levy	0.003% of transaction amount
Investor compensation levy	Waived (until further notice)
Trading fee	0.005% of transaction amount
Stamp duty	0.1% of transaction amount (rounded up to the nearest dollar)
Trading Tariff	Waived
Scrip handling and settlement-related services	
CCASS stock settlement fee	0.002% of transaction amount (minimum \$2, maximum \$100)
Transfer deed stamp duty	\$5 per transfer deed (paid for depositing physical scrip)
Physical scrip deposit fee	Waived
Physical scrip withdrawal fee	Negotiable
Settlement instruction fee (receive)	Waived
Settlement instruction fee (deliver)	Please refer to Settlement Instruction
Investor settlement instruction fee (receive)	\$5 per instruction
Investor settlement instruction fee (deliver)	Please refer to Settlement Instruction
Nominee services and corporate actions	
Scrip fee	\$1.5 per lot (based on the quantity of shares held before book-close date which are bought/deposited first time)
Collection fee of cash dividend	0.5% of dividend received (minimum \$20, maximum \$10,000), maximum is subject to negotiable
Collection fee of bonus issue	Waived
Fee for handling share consolidation/split	Waived
Fee for rights/offers/warrants exercise, other entitlements exercise like takeover/privatization	Corporate action fee: \$0.8 per lot (minimum \$20) plus handling charge: \$50 per deal
Apply to receive listed company information	\$10 per listed company information (each client can apply to receive maximum 20 listed companies' information)
Information services	
Real time snapshot quote (provided by AASStock)	<ul style="list-style-type: none"> > 1,000 free real time quotes per month (remaining basic quotes for current month cannot be accumulated to next month) > Each \$2 commission entitled for extra 1 free real quote (remaining extra quotes for current month can be accumulated to next month) > \$0.05 for each purchase quote, minimum purchase 1,000 quotes (remaining purchase quotes for current month can be accumulated to next month) > Real time quote period : 9:30 am to 1:30 pm, 2:30 pm to 5:00 pm (exclude Saturday, Sunday and public holiday) > If all free real time quotes are used up, one-hour delayed quote will be provided
Streaming quote linked with trading platform (provided by AASStock)	Plan 1: \$303 per month (include HSI) Plan 2: \$328 per month (include HSI and HFI) Plan 3: \$168 per month (for mainland China only)
Account maintenance	
Account balance confirmation fee	\$200 per application
Custody fee	Waived
Dormant account fee	Waived
Financing and other services	
Cash account overdue interest	Prime rate of Bank of Communication Hong Kong Branch + 5% per annum
Margin account financing interest	Prime rate of Bank of Communication Hong Kong Branch + 3% per annum
IPO subscription handling charge	\$80 per application
IPO Yellow form Handling charge	\$100 per yellow form
Statement duplication (Include e-mail statement)	\$20 for each daily statement, \$50 for each monthly statement
Cash withdrawal charge	\$5 handling charge for any cash withdrawal instruction if the withdrawal amount is less than HKD100
CHATS handling charge (for HKD only)	\$100 per application
Remittance handling charge (for HKD only)	\$150 per application

Fee for claiming unclaimed dividends	\$400 per application plus other fees charged by HKSCC Nominees (payment will not be refundable even if the claim is unsuccessful)
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China B Shares Market

Item	Shanghai B (settled by USD)	Shenzhen B (settled by HKD)
Brokerage commission	0.30% of transaction amount (minimum \$10)	0.30% of transaction amount (minimum \$50)
Stamp duty (Eilgible for seller only)	0.10% of transaction amount	0.10% of transaction amount
Clearing fee	0.05% of transaction amount	0.05% of transaction amount
Levy	0.03% of transaction amount	0.03% of transaction amount
Collection fee of cash dividend	0.50% of dividend received (minimum \$5USD)	0.50% of dividend received (minimum \$20HKD)

Hong Kong Futures Market

(settled by HKD)

Contact	Commission (Per side)		Exchange Fee & SFC Levy # (Per side)
	Day Trade	Overnight Trade	
Hang Seng Index Futures	\$60	\$100	\$10.60
Mini Hang Seng Index Futures	\$25	\$40	\$3.62
H-shares Index Futures	\$60	\$100	\$4.10
Mini H-shares Index Futures	\$25	\$40	\$2.12
Stock Futures	\$25	\$40	\$3.62
HSI Dividend Futures	\$20	\$30	\$3.60
HSCEI Dividend Futures	\$20	\$30	\$2.10
HSI Volatility Index Futures	\$120	\$200	\$10.6*
IBOVESPA Futures	\$60	\$100	\$10.6**
MICES Furutes	\$60	\$100	\$5.6**
Sensex Futures	\$60	\$100	\$5.6**
FTSE/JSE Top40 Furutes	\$60	\$100	\$5.6**
Hang Seng Index Options	1% of options premium (min \$60, max \$100)		\$10.60
Mini Hang Seng Index Options	1% of options premium (min \$25, max \$40)		\$2.12
H-shares Index Options	1% of options premium (min \$60, max \$100)		\$4.10

according to the announcement of HKFE

* Exempted for first six months until 20 August 2012.

** Exempted for first six months until 28 September 2012.

**** The above fees and charges are subject to change from time to time without prior notice ****

Bank account information

Please fill bearer name "**BOCOM International Securities Limited**" on cheque/ cashier order.

Stock

Bank of Communications	027-532-9-30757-6-6 (HKD, USD & RMB)
Bank of China	012-709-1-010043-0 (HKD)
HSBC	808-358022-001 (HKD)
Hang Seng Bank	773-557764-001 (HKD)
Standard Chartered Bank	447-1-672687-7 (HKD)

Fax no. (852) 2851 9955 / 2851 9876 / 3426 9601
(please fill in a/c no. & name on bank pay-in slip)

Futures

Standard Chartered Bank	447-1-672753-9 (HKD)
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Fax no. (852) 8148 6118
(please fill in a/c no. & name on bank pay-in slip)

Remittance information

Name of Beneficiary Bank	Bank of Communications, Hong Kong Branch
Address of Beneficiary Bank	20 Pedder Street, Central, Hong Kong
SWIFT Code of Beneficiary Bank	COMMHKHH
A/C No. of Beneficiary	027-532-9-30757-6-6
Name of Beneficiary	BOCOM International Securities Limited