



# BOCOM International Securities Limited

## Fees and Charges Table

Effective on 30 Jun 2025

(Settled by HKD)

### Hong Kong Stock Market

| Trade-related services   |  |
|--|--|
| Brokerage  | Standard: 0.25% of transaction amount (minimum \$100), subject to negotiable   |
| Stamp duty   | 0.10% of transaction amount (rounded up to the nearest dollar)   |
| Transaction levy   | 0.0027% of transaction amount  |
| Trading fee  | 0.00565% of transaction amount (rounded to the nearest cent)   |
| CCASS settlement fee   | 0.0042% of transaction amount (rounded to the nearest cent)  |
| AFRC Transaction Levy  | 0.00015% of transaction amount (rounded to the nearest cent)   |
| Investor compensation levy   | Waived (until further notice)  |
| Multi counter Transfer instruction (DTDC)  | \$5 per stock  |
| Scrip handling and settlement-related services   |  |
| Transfer deed stamp duty   | \$5 per transfer deed (collected for depositing physical scrip)  |
| Physical scrip deposit fee   | Waived   |
| Physical scrip withdrawal fee  | \$6 per lot (minimum \$50)   |
| Settlement instruction fee (receive)   | Waived   |
| Settlement instruction fee (deliver)   | please refer to Settlement Instruction Form  |
| Investor settlement instruction fee (receive/deliver)  | \$5 per instruction  |
| Nominee services and corporate actions   |  |
| Scrip fee  | \$1.5 per lot (based on the quantity of shares held before book-close date which are bought/deposited first time)  |
| Collection fee of dividend (including scrip dividend)  | 0.5% of dividend received (minimum \$20, maximum \$10,000), maximum is subject to negotiable   |
| Collection fee of bonus issue  | Waived   |
| Fee for handling share consolidation/split   | Waived   |
| Fee for rights/offers/warrants exercise, other entitlements exercise like takeover/privatization, etc. | Corporate action fee: \$0.8 per lot (minimum \$20) plus handling charge: \$50 per deal   |
| Information services   |  |
| Real time snapshot quote<br>(provided by AAStock)  | > 1,000 free real time quotes per month (remaining basic quotes for current month cannot be accumulated to next month)<br>> Each \$2 commission entitled for extra 1 free real quote (remaining extra quotes for current month can be accumulated to next month)<br>> Real time quote period : 9:30 am to 1:30 pm, 2:30 pm to 5:00 pm (exclude Saturday, Sunday and public holiday)<br>> If all free real time quotes are used up, one-hour delayed quote will be provided |
| Streaming quote linked with trading platform<br>(provided by AAStock)                                  | Plan 1: \$320 per month (include HSI)<br>Plan 2: \$345 per month (include HSI and HFI)<br>Plan 3: \$200 per month (for mainland China only)  |
| Streaming quote without linked with trading platform   | AA Stock Quotewinner : \$383 per month ; China version \$203 per month (for mainland China only)<br>AA Stock China 2007 : \$203 per month (for mainland China only)<br>Quote Power : \$360 per month ; China version \$180 per month (for mainland China only)<br>QianLong: CNY203 per month (for mainland China only)   |
| For more information related to Real time quote, please refer to your Account Manager                  |  |
| Account maintenance  |  |
| Account balance confirmation fee   | \$200 per application  |
| Custody fee  | 0.05% per annum on market value , min HKD100 equivalent  |
| Dormant account fee  | HKD 50 per year for account for no transaction over 2 years  |
| Financing and other services   |  |
| Cash account overdue interest  | Prime rate of Bank of Communication Hong Kong Branch + 5% per annum  |
| Margin account financing interest  | Prime rate of Bank of Communication Hong Kong Branch + 3% per annum  |
| IPO subscription handling charge   | \$80 per application   |
| IPO Yellow form Handling charge  | \$100 per yellow form  |
| Duplicate copy of Statement  | \$20 for each daily statement, \$50 for each monthly statement   |
| Annual Paper Statement Service Fee**   | \$80 per month   |
| Cash withdrawal charge   | If the withdrawal amount below \$100, we will debit \$5 administration fees  |
| CHATS handling charge  | \$100 per application  |
| Remittance handling charge   | \$150 per application  |
| Fee for claiming unclaimed dividends   | \$400 per application plus other fees charged by HKSCC Nominees (payment will not be refundable even if the claim is unsuccessful)   |
| Deposit Verification   | \$150 per application  |
| Stop Payment***  | \$500 per application  |

\*Fees are charged in RMB for the Hong Kong shares settled in RMB

\*\*Whole year charges will be debited from account on the beginning of each year

\*\*\* Initae request by client or the payee name not match with account received

## HK Stock Options

| Contact       | (Per side)                           |                 | Exercise / Assignment  | Exchange Fee & SFC Levy <sup>#</sup><br>(Per side)   |
|---------------|--------------------------------------|-----------------|--|--|
|               | Day Trade                            | Overnight Trade |  | Day Trade/Overnight Trade  |
| Stock Options | 0.3% of options premium (min HK\$90) |                 | Same as trading securities<br>(additional HK\$2 per contact<br>for exercising long position) | Tier 1 options: HK\$3 per contract;<br>Tier 2 options: HK\$1 per contract;<br>Tier 3 options: HK\$0.5 per contract |

# Determined and collected by Options Clearing House

**\*\*Above charges are for reference only. They are subject to change from time to time without prior notice. \*\***

## Bank account information

Please refer to following Bank Name on cheque/ cashier order.

### Securities

| <u>Name of Bank</u>                      | <u>Bank Account no.</u> | <u>Bank Name</u>                                    | <u>Currency</u>              |
|--|-------------------------|---|------------------------------|
| Bank of Communications, Hong Kong Branch | 027-532-9-30757-6-6     | BOCOM International Securities Ltd - Trust a/c      | HKD, USD, RMB                |
| Bank of China                            | 012-709-1-010043-0      | BOCOM International Securities Ltd - Clients A/C    | HKD                          |
|  | 012-709-9207248-1       | BOCOM International Securities Ltd - Clients A/C    | MULTI-CURRENCY (Exclude HKD) |
| HSBC                                     | 808-358022-001          | BOCOM International Securities Ltd-Client A/C       | HKD                          |
| Hang Seng Bank                           | 773-557764-001          | BOCOM International Securities Ltd-Client A/C       | HKD                          |
| Standard Chartered Bank                  | 447-1-672687-7          | BOCOM International Securities Ltd - Client Account | HKD                          |
|  | 447-0-792882-9          | BOCOM International Securities Ltd - Client Account | USD                          |
| China Merchants Bank, Hong Kong Branch   | 238-20132008            | BOCOM International Securities Ltd-Clients Account  | HKD                          |
|  | 238-20550384            | BOCOM International Securities Ltd-Clients Account  | USD                          |
|  | 238-20132019            | BOCOM International Securities Ltd-Clients Account  | RMB                          |
| Bank of Communications (HK) Ltd          | 382-532-9-328141-7      | BOCOM International Securities Ltd - Client's A/C   | MULTI-CURRENCY               |

### HK Stock Options

| <u>Name of Bank</u>     | <u>Bank Account no.</u> | <u>Bank Name</u>                                    | <u>Currency</u> |
|-------------------------|-------------------------|---|-----------------|
| Standard Chartered Bank | 447-0-789772-9          | BOCOM International Securities Ltd - Client Account | HKD             |

**Please quote your a/c no. & name on bank pay-in slip and fax to (852) 8146 6118 or email to fund@bocomgroup.com.**

## Remittance information

|                        |   |
|------------------------|---|
| <b>Name of Bank</b>    | <b>Bank of Communications, Hong Kong Branch</b>                     |
| Bank Address           | 20 Pedder Street, Central, Hong Kong                                |
| SWIFT Code / Bank Code | COMMHKHH / 027  |
| A/C No.                | Refer to bank account information                                   |
| Beneficiary Name       | Refer to bank name above  |
| <b>Name of Bank</b>    | <b>Standard Chartered Bank (Hong Kong) Ltd</b>                      |
| Bank Address           | Standard Chartered Bank Building, 4-4A Des Voeux Road, Central      |
| SWIFT Code / Bank Code | SCBLHKHH / 003  |
| A/C No.                | Refer to bank account information                                   |
| Beneficiary Name       | Refer to bank name above  |
| <b>Name of Bank</b>    | <b>The Hong Kong and Shanghai Banking Corporation Limited</b>       |
| Bank Address           | HSBC Main Building Plaza, 1 Queen's Road Central, Hong Kong         |
| SWIFT Code / Bank Code | HSBCHKHHHKH / 004   |
| A/C No.                | Refer to bank account information                                   |
| Beneficiary Name       | Refer to bank name above  |
| <b>Name of Bank</b>    | <b>China Merchants Bank, Hong Kong Branch</b>                       |
| Bank Address           | 20&21/F Bank of America Tower, 12 Harcourt Road, Central, Hong Kong |
| SWIFT Code / Bank Code | CMBCHKHH / 238  |
| A/C No.                | Refer to bank account information                                   |
| Beneficiary Name       | Refer to bank name above  |