



# BOCOM International Securities Limited

## Fees and Charges Table

Effective on 14 August 2022

### Overseas Stock Markets

U.S. Shares	
<b>Trade-Related Services</b>	
Brokerage	Standard: 0.055 per share (minimum USD\$30), negotiable #
Exchange Fee	Subject to corresponding Exchange's charges
<b>Corporate Actions</b>	
Collection fee of dividend (including scrip dividend)	1% of Dividend received (minimum USD\$12)
<b>Others</b>	
Settlement instruction fee (delivery)	USD0.030 per share (minimum USD\$30)
Streaming Quote services	HKD 380 per month
American Depository Receipts (ADRs) Custody Fee	USD0.01 - 0.05 per share **
Custody Fee	0.025 per shares based on each year end holding (maximum 0.3% of market value)

Singapore Shares	
<b>Trade-Related Services</b>	
Brokerage	Standard: 0.40% of transaction amount (Minimum SGD\$40 or USD\$30), negotiable
Exchange Fee	Subject to corresponding Exchange's charges
<b>Corporate Actions</b>	
Collection fee of dividend (including scrip dividend)	3% of Dividend received (minimum SGD\$15 & Maximum SGD\$200)
<b>Others</b>	
Settlement instruction fee (delivery)	0.25% of Market Value (minimum USD 60 equivalent) / per Request
Custody Fee	0.05% per annum on market value, min HKD100 equivalent

Malaysia Shares	
<b>Trade-Related Services</b>	
Brokerage	Standard: 0.40% of transaction amount (minimum MYR\$70), negotiable
Stamp Duty	0.15% of transaction amount (Maximum MYR\$1,000 (rounded up to the nearest dollar) *
Clearing Fee	0.03% of transaction amount (Maximum MYR\$1,000)
<b>Corporate Actions</b>	
Collection fee of dividend (including scrip dividend)	1.60% of Dividend received (minimum MYR\$40)
<b>Others</b>	
Settlement instruction fee (delivery)	0.25% of Market Value (Maximum USD 60) / per Asset
Custody Fee	0.05% per annum on market value, min HKD100 equivalent

Japan Shares	
<b>Trade-Related Services</b>	
Brokerage	Standard: 0.30% of transaction amount (minimum JPY\$2,400) + negotiable
Exchange Fee	Subject to corresponding Exchange's charges
<b>Corporate Actions</b>	
Collection fee of dividend (including scrip dividend)	JPY\$800
<b>Others</b>	
Settlement instruction fee (delivery)	0.25% of Market Value (minimum USD 60 equivalent) / per Request
Custody Fee	0.05% per annum on market value, min HKD100 equivalent

Thailand Shares	
<b>Trade-Related Services</b>	
Brokerage	Standard: 0.40% of transaction amount (minimum THB\$600), negotiable
WAT Fee & Other Transaction Fees	7.0% of Brokerage amount
<b>Corporate Actions</b>	
Collection fee of dividend (including scrip dividend)	THB\$300
<b>Others</b>	
Settlement instruction fee (delivery)	0.25% of Market Value (minimum USD 60 equivalent) / per Request
Custody Fee	0.05% per annum on market value, min HKD100 equivalent

Australia Shares	
<b>Trade-Related Services</b>	
Brokerage	Standard: 0.30% of transaction amount (minimum AUD\$55), negotiable
Exchange Fee	Subject to corresponding Exchange's charges
<b>Corporate Actions</b>	
Collection fee of dividend (including scrip dividend)	AUD\$10
<b>Others</b>	
Settlement instruction fee (delivery)	0.25% of Market Value (Maximum USD 100) / per Asset
Custody Fee	0.05% per annum on market value, min HKD100 equivalent

Canada Shares	
<b>Trade-Related Services</b>	
Brokerage	Standard: 0.60% of transaction amount (minimum CAD\$80), negotiable
Flat Handling Fee	CAD\$23 per transaction
<b>Corporate Actions</b>	
Collection fee of dividend (including scrip dividend)	CAD\$10
<b>Other</b>	
Settlement instruction fee (delivery)	0.4% of Market Value (Maximum CAD 100) / per Asset
Custody Fee	0.05% per annum on market value, min HKD100 equivalent

France Shares	
<b>Trade-Related Services</b>	
Brokerage	Standard: 0.40% of transaction amount (minimum EUR\$28 or USD\$33), negotiable
Exchange Fee	Subject to corresponding Exchange's charges
<b>Corporate Actions</b>	
Collection fee of dividend (including scrip dividend)	EUR\$8
<b>Others</b>	
Settlement instruction fee (delivery)	0.25% of Market Value (minimum USD 60 equivalent) / per Request
Custody Fee	0.05% per annum on market value, min HKD100 equivalent

U.K. Shares	
<b>Trade-Related Services</b>	
Brokerage	Standard: 0.50% of transaction amount (minimum GBPS30), negotiable
Stamp Duty	0.50% of transaction amount (Applicable per BUY trade only)
Exchange Fee	Subject to corresponding Exchange's charges
Other Transaction Fees (if applicable)	PTM LEVY: Flat rate GBPS1.00 (applicable for some U.K. stocks with transaction amount more than GBPS10,000) Securities clearing system settlement fees, if applicable
<b>Corporate Actions</b>	
Collection fee of dividend (including scrip dividend)	GBPS6
<b>Others</b>	
Settlement instruction fee (delivery)	0.25% of Market Value (minimum USD 60 equivalent) / per Request
Custody Fee	0.05% per annum on market value, min HKD100 equivalent

Germany Shares	
<b>Trade-Related Services</b>	
Brokerage	Standard: 0.40% of transaction amount (minimum EUR\$28 or USD\$33), negotiable
Exchange Fee	Subject to corresponding Exchange's charges
<b>Corporate Actions</b>	
Collection fee of dividend (including scrip dividend)	EUR\$8
<b>Others</b>	
Settlement instruction fee (delivery)	0.25% of Market Value (minimum USD 60 equivalent) / per Request
Custody Fee	German stock portfolio value X 0.05% / 12 (Minimum Fees EUR 1.0; Fee payable in next calendar month) or 0.05% per annum on market value, min HKD100 equivalent

Netherlands Shares	
<b>Trade-Related Services</b>	
Brokerage	Standard: 0.40% of transaction amount (minimum EUR\$28 or USD\$33), negotiable
Exchange Fee	Subject to corresponding Exchange's charges
<b>Corporate Actions</b>	
Collection fee of dividend (including scrip dividend)	EUR\$8
<b>Others</b>	
Settlement instruction fee (delivery)	0.25% of Market Value (minimum USD 60 equivalent) / per Request
Custody Fee	0.05% per annum on market value, min HKD100 equivalent

China Shanghai B Shares	
<b>Trade-Related Services</b>	
Brokerage	Standard: 0.30% of transaction amount (minimum USD\$10), negotiable
Stamp Duty	0.10% of transaction amount (Applicable per SELL trade only)
Trading Levy	0.00487% of transaction amount
Settlement Fee	0.002% of transaction amount (Maximum USD\$50)
Administration Fee	0.002% of transaction amount
<b>Corporate Actions</b>	
Collection fee of dividend (including scrip dividend)	0.50% of Dividend received (minimum USD\$5)
<b>Others</b>	
Settlement instruction fee (delivery)	0.25% of Market Value (minimum USD 60 equivalent) / per Request
Custody Fee	0.05% per annum on market value, min HKD100 equivalent

China Shen/Zhen B Shares	
<b>Trade-Related Services</b>	
Brokerage	Standard: 0.30% of transaction amount (minimum HKD\$50), negotiable
Stamp Duty	0.10% of transaction amount (Applicable per SELL trade only)
Trading Levy	0.00687% of transaction amount
Settlement Fee	0.002% of transaction amount (Maximum HKD\$50)
<b>Corporate Actions</b>	
Collection fee of dividend (including scrip dividend)	0.50% of Dividend received (minimum HKD\$20)
<b>Others</b>	
Settlement instruction fee (delivery)	0.25% of Market Value (minimum USD 60 equivalent) / per Request
Custody Fee	0.05% per annum on market value, min HKD100 equivalent

Shanghai Connect / Shenzhen Connect	
<b>Trade-related services</b>	
Brokerage commission	Standard: 0.25% of consideration amount (minimum \$100), negotiable
Handling Fee	0.00487% of consideration amount
Securities Management Fee (Sec Mgt Fee)	0.002% of consideration amount
Transfer Fee	0.003% of consideration amount
Stamp Duty	0.1% of consideration amount (sell trade only)
<b>Settlement-related services</b>	
Settlement instruction fee (Receive)	Waived
Settlement instruction fee (Deliver)	Please refer to the "Settlement instruction Form"
<b>Nominees Services and corporate actions</b>	
Collection fee of dividend (including scrip dividend)	0.5% of gross dividend amount (minimum \$20, maximum \$10,000), negotiable on max
Collection fee of bonus issue	Waived
Fee for handling share consolidation/split	Waived
Fee for rights/offers/warrants exercise, other entitlements exercise like takeover/privatization	Corporate action fee: \$0.8 per lot (minimum \$20) plus handling charge: \$50 per deal
<b>Other</b>	
Custody Fee	0.05% per annum on market value, min HKD100 equivalent

Note:

# If the commission charges in %, we reserve the rights to charge in 0.055 per share (which is higher)

\* Effective from 01 MARCH 2018, some securities are exempted by Malaysia Government from paying stamp duty.

\*\* American Depository Receipts (ADRs) Custody Fee is the fee charged by ADR custody agents against ADR holders. These fees are not collected during trading, but will be deducted by ADR custody agents at a scheduled time.

The custodian fee charges of investment product save kept by BOCOM International will be charged base on its own market and type. Except any special calculation

Service fee charges on physical scrip and settlement instruction is subject to different stock market. Please refer to BOCOM International Securities Ltd for detail.

The charges and related Exchange Fee shown above are for reference only; they are subject to change without prior notice.