

Client Information Confirmation (Corporate Client)

覆核客戶資料表格(企業客戶)

Please complete form and tick "》where appr 請填寫本表格上各欄,並在適當的方格內加	opriate and cross out those not applicable. 7 上 ""	
客戶資料 Client Information		
帳戶名稱 Account Name:		
帳戶號碼 Account No.:		
客戶經理/ 銷售人員/ 業務部門 Account l	Manager/ Sales Staff/ Department:	
持牌人士名稱 Name of Licensed Person:		
與客戶聯絡日期 Date of Client Contact:		
聯絡方法 Client Contact Method: 電	話*Phone*	
	件/電郵 (已註冊的電郵地址) Mail/ I	E-mail (registered e-mail address)
聯絡的客戶獲授權人名稱 Name of Custor	ner's Authorized Person Contacted W	/ith:
分機號碼* Extension*:	聯絡時間 Contact Time:	
*如使用電話與客戶聯絡,需使用錄音電話系統 *Using telephone recording system is required if co # 如需要改動第一至六項資料 (即地址、公司 指示及提供相關文件。若需要更改的資料並不 #If client would like to change the item 1-6 of acco contact information, etc), client need sign this form For those updated information are not mentioned a signature is not required for such information upda Account Information #	ontact client through telephone. 董事、獲授權人士、擁有權結構、聯絡資 步及上述提及之類別,可使用錄音電話系 unt information (such as address, director, a as an instruction for information change a as above, telephone recording system can b	系統與客戶聯絡作更改資料指示之用。 authorized person, ownership structures, und provide further supporting document(s).
Account Information 巨口資料	Situation 狀況	資料更改詳情 Details of Information

Account Information# 戶口資料#					
Account Information 戶口資料	Situation 狀況	資料更改詳情 Details of Information			
1.通訊地址 Correspondence Address (如更改,客戶必須提供最近 3 個月之地址証 明 For any changing, please provide a valid address proof within 3 months)	□ 不變 Unchange □ 更改 Change				
2.主要營業地址 Principal Place of Business (如更改,客戶必須提供最近 3 個月之地址証 明 For any changing, please provide a valid address proof within 3 months)	□ 不變 Unchange □ 更改 Change				
3. 公司董事 Director(s) of the Company (*如有變更,請提供詳細列表) (*Please provide the updated detail list if there is any changing)	□ 不變 Unchange □ 新增 Add □ 更改 Change				
4. 獲授權人士 Authorized person *如有變更,請提供詳細資料 *Please provide the updated details if there is any changing	□ 不變 Unchange □ 新增 Add □ 更改 Change				

Version: Nov 2021

層等) *如有更改,請提供請提供詳細列表。實益擁有人的姓名、身份證/護照號研籍、職業、聯絡電話及地址 Ownership structures (include the Ultin Beneficiary(ies) and intermediate layere *For any changing, please provide upda details and the Name, ID / Passport No. Nationality, Occupation, Contact No an Address of Ultimate Beneficiary(ies). 6. 聯絡資料 (如電話號碼、電郵地址號碼等)	馬、國 ロ 更改 Change nate s)) tted nd				
Contact Information (e.g. phone number address, fax number, etc)	e-mail □ 更改 Change				
7. 業務性質 Nature of Business	□ 不變 Unchan	ge □ 更改 Change			
8. 投資目的 (例如資本增值,對沖等) Investment Objectives (eg. Capital appreciation, Hedging etc)	□ 不變 Unchan □ 更改 Change	ge□ 新增 Add			
備註 Remark:					
客戶簽署 (連同公司印章)Client Signature (with company chop) 銷售員工簽署 Sales Staff Signature 日期 Date: (只適用於以見面或書面形式進行的確認 Only applicable to face-to-face or written confirmation)					
		face-to-face or written con	firmation)		
(*** <i>只適用於以見面或書面形式進行</i> 由銷售員工填寫 Completed by Sales 類別 Type:		ace-to-face or written con	firmation)		
由銷售員工填寫 Completed by Sales 類別 Type: 驗證客戶資料 Client Information Veri 把不動戶重新活躍起來 Dorma 戶口的實益擁有權或控制權有 ***********************************	fication: nt account reactivation 變 Change in the beneficial o 先諮詢合規部************ cant transaction is to take place 的轉變 Material change occ 修訂 Customer documentation	ownership or control of the	e account ****** er's account is operated		
由銷售員工填寫 Completed by Sales 類別 Type: 驗證客戶資料 Client Information Veri 把不動戶重新活躍起來 Dorma 戶口的實益擁有權或控制權有 ***********************************	Staff fication: nt account reactivation 變 Change in the beneficial of the sense in the beneficial of the sense in transaction is to take place the place to the place the place of the pla	ownership or control of the	e account ****** er's account is operated		
由銷售員工填寫 Completed by Sales 類別 Type: 驗證客戶資料 Client Information Veri 把不動戶重新活躍起來 Dorma 戶口的實益擁有權或控制權有 ***********************************	fication: nt account reactivation 變 Change in the beneficial o 先諮詢合規部************* ant transaction is to take plac 的轉變 Material change occ 修訂 Customer documentation sufficient information about t	ownership or control of the	e account ****** er's account is operated		
由銷售員工填寫 Completed by Sales 類別 Type: 驗證客戶資料 Client Information Veri 把不動戶重新活躍起來 Dorma 戶口的實益擁有權或控制權有 ***********************************	fication: nt account reactivation 變 Change in the beneficial o 先諮詢合規部************* ant transaction is to take plac 的轉變 Material change occ 修訂 Customer documentation sufficient information about t	ownership or control of the	e account ****** er's account is operated		
由銷售員工填寫 Completed by Sales 類別 Type: 驗證客戶資料 Client Information Veri 把不動戶重新活躍起來 Dorma 戶口的實益擁有權或控制權有 ***********************************	fication: nt account reactivation 變 Change in the beneficial o 先諮詢合規部************* ant transaction is to take plac 的轉變 Material change occ 修訂 Customer documentation sufficient information about t	ownership or control of the	e account ****** er's account is operated		

Version: Nov 2021