

**Addendum to Client’s Agreements and Risk Disclosure Statements**

The following clause is amended in the manner stated below.

Original clause item	Revised clause item	Amendment (Newly added content will be underlined, and deleted content will be crossed)
N/A	SECURITIES RISK DISCLOSURE STATEMENTS (p.72)	<p>A new sub-clause is added to “SECURITIES RISK DISCLOSURE STATEMENTS”:</p> <p><u>"16. RISK OF PRE-IPO TRADING (Grey Market Trade)</u></p> <p><u>The Client should only undertake trading on the over-the-counter (“OTC”) trading facilities provided by BOCOM if the Client understands the nature of such trading and such trading facilities and the extent of the Client exposure to the risks.</u></p> <p><u>By trading on the pre-IPO trading platform the Client is exposed to the credit, settlement, and other risks of the counterparty to the relevant OTC transactions, including (but not limited to) transactions of Securities before their listing on the Exchange. Settlement of the relevant transactions is not guaranteed and the Client will be responsible for any losses or expenses resulting from the Client and/or the counterparty's settlement failures.</u></p> <p><u>Trades executed on pre-IPO trading platform may be cancelled and void if that particular Securities subsequently fails to list on the Exchange.</u></p> <p><u>The Client order may only be partially executed, or not at all, as a result of the lower liquidity in trading on pre-IPO trading platform as compared to regular market hours of the Exchange. There may also be greater volatility in trading on pre-IPO</u></p>

		<p><u>trading platform than in regular market hours of the Exchange. The lower liquidity and higher volatility in trading on pre-IPO trading platform may then result in wider than normal spreads for a particular type of Securities.</u></p> <p><u>The prices of Securities traded on pre-IPO trading platform may differ significantly from their opening or traded prices transacted during the regular market hours upon the listing of the Securities on the Exchange. The prices displayed on pre-IPO trading platform may not reflect the prices in other concurrently operating automated trading systems dealing in the same Securities.</u></p> <p><u>News announcements made by the issuers may affect the price of their Securities after regular market hours. Similarly, important financial information is often announced outside regular market hours. In trading on pre-IPO trading platform, these announcements may occur during trading and may cause an exaggerated and unsustainable effect on the price of a particular type of Securities.”</u></p>
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