

Addendum to Client’s Agreement and Risk Disclosure Statements

The following clauses are amended in the manner stated below.

Original clause item	Revised clause item	Amendment (Newly added content will be underlined, and deleted content will be crossed)
N/A	MISCELLANEOUS (page 102)	<p>A new sub-clause is added to “Miscellaneous”: "7. According to the EU Regulation on key information documents for packaged retail and insurance-based investment products (“PRIIPs Regulation”), which came into effect on 1 January 2018, states that a key information document (“KID”) must be provided to retail investors located in the European Economic Area (“EEA”) who are sold a packaged retail and insurance-based investment product (“PRIIP”).</p> <p>Options Contracts traded on the Stock Exchange of Hong Kong Limited and the Hong Kong Futures Exchange Limited (collectively the “HKEX”) fall within the definition of a PRIIP and hence the HKEX has produced a KID for the relevant types of products.</p> <p>If you are located in the EEA, please kindly read the KID at the HKEX’s website under section HKEX Derivatives Information for Overseas Investors before trading. "</p>
N/A	MISCELLANEOUS (page 102)	<p>A new sub-clause is added to “Miscellaneous”: "8. By using any content (the “Content”) which we prepare or which we obtain or access from or through any of our information provider (including but not limited to Bloomberg) and share with you, you fully accept and agree to comply with the following terms:</p> <ul style="list-style-type: none"> <li data-bbox="746 1921 1310 1998">• you are not located in a country and/or region with respect to which the United States

		<p>maintains trade sanctions prohibiting the shipment or provision of services, goods, technology or software (a “Sanctioned Jurisdiction”);</p> <ul style="list-style-type: none">• you are not the government of a Sanctioned Jurisdiction or a person located in or normally resident of a Sanctioned Jurisdiction;• you are not a national of a Sanctioned Jurisdiction, wherever located;• you are not on the (A) Specially Designated Nationals and Blocked Person List maintained by the U.S. Department of the Treasury’s Office of Foreign Assets Control; (B) Foreign Sanctions Evaders List maintained by the U.S. Department of the Treasury’s Office of Foreign Assets Control; (C) U.S. Department of Commerce’s Denied Persons List; or (D) U.S. Department of Commerce’s Entity List ;• you will not use the Content for the purpose of providing, financing or otherwise dealing in prohibited new equity or new debt of entities listed on the Sectoral Sanctions Identifications List maintained by the U.S. Department of Treasury’s Office of Foreign Assets Control;• you will not use the Content for any purpose that would be prohibited under U.S. law, including without limitation, nuclear, chemical or biological weapons proliferation; and• you will not provide, reproduce, redistribute, modify, disseminate, decompile, disassemble or reverse-engineer the Content without our prior written consent. <p>If you do not accept these terms, you should immediately stop using the Content. It is your</p>
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		<p>responsibility to review these terms carefully. After you have agreed to these terms, your continued usage of the Content shall constitute your acceptance of the prevailing version of terms set out by us."</p>
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